



Receipt  
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**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Applicant: Khoi Hoang, et al. Docket No: 60595-300701  
Application No: 09/902,503 Group Art Unit: 2611  
Filed: July 9, 2001 Examiner: Not Assigned Yet  
For: **CONTROLLING DATA-ON-DEMAND CLIENT ACCESS**

Office of Initial Patent Examination Division  
Customer Service Center  
Commissioner For Patents  
Washington, D.C. 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

Enclosed is a red-lined copy of the Filing Receipt showing the change, for the above-identified patent application, a copy of the Utility Patent Application Transmittal dated July 9, 2001, a copy of page one of the Specification, a copy of the Declaration, Power of Attorney and Petition and evidencing the desired correction. Please reprint the Filing Receipt as follows and mail the corrected copy to the undersigned.

Please correct this application to read:

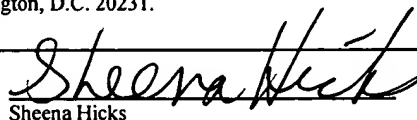
**"THIS APPLICATION IS A CIP OF 09/584,832 05/31/2000  
AND A CIP OF 09/709,948 11/10/2000  
AND A CIP OF 09/841,792 04/24/2001  
AND A CIP OF 09/870,879 05/30/2001  
AND A CIP OF 09/892,015 06/25/2001"**

Please reprint the Filing Receipt as follows and mail the corrected copy to the undersigned.

**CERTIFICATE OF MAILING (37CFR 1.8 (a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited on September 7, 2001 with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231.

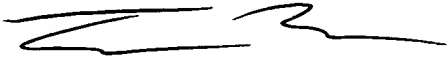
Date: September 7, 2001

  
Sheena Hicks

The correction is not due to any error by applicant and no fee is due. However, in the event that a fee is due, the Commissioner is hereby authorized to charge any such fees to Deposit Account 02-3964.

Respectfully submitted,

Dated: September 7, 2001

  
\_\_\_\_\_  
Tamiz Khan  
Reg. No. 46,273

OPPENHEIMER WOLFF & DONNELLY LLP  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
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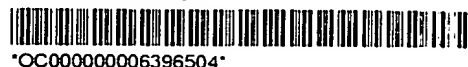
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/902,503	07/09/2001	2611	0.00	60595-300701	15	58	7

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OPPENHEIMER WOLFF & DONNELLY LLP - SILICON VALLEY  
1400 PAGE MILL ROAD  
PALO ALTO, CA 94304

CONFIRMATION NO. 7548

## FILING RECEIPT



\*OC000000006396504\*

Date Mailed: 08/08/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Khoi Hoang, Pleasanton, CA;  
Tony Qu, San Ramon, CA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/581,832 09/31/2000  
AND A CIP OF 09/209,948 11/10/2000

Foreign Applications

AND A CIP OF 09/841,792 04/24/2001

If Required, Foreign Filing License Granted 08/07/2001

AND A CIP OF 09/870,879 05/30/2001  
AND A CIP OF 09/942,015 06/25/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Controlling data-on-demand client access

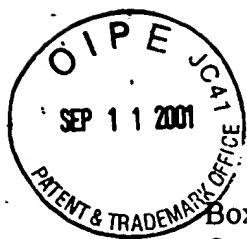
Preliminary Class

725

RECEIVED  
OPPENHEIMER WOLFF & DONNELLY LLP  
PALO ALTO, CALIFORNIA  
60595-300700  
AUG 13 2001

DOC.# PREDP007  
CAL'D Missing Parts 10/8/01  
FILED ☐ OIM ☐ BRC/AA  
DDO 3/8/02

Pred P007



EL 745058319US

## IN THE UNITED STATES PATENT AND TRADEMARK

Box Patent Application  
Commissioner of Patents  
Washington, D.C. 20231

Attorney's Docket No.: 60595-300701  
(PREDP007)

## FILING TRANSMITTAL

Transmitted herewith for filing is the Patent Application of : **Khoi Hoang, et al.**

For: **CONTROLLING DATA-ON-DEMAND CLIENT ACCESS**

## ENCLOSURES

- ☒ 28 page application including specification, claims and abstract;
  - ☒ 15 sheets (Figs. 1 - 19) of ☒ informal/☐ formal drawings;
  - ☒ A Declaration, Power of Attorney & Petition (☐ signed/☒ unsigned);
  - ☒ A postcard for return to us as proof of receipt of the referenced documents.
- and**
- ☐ An Assignment of the invention with an assignment cover sheet;
  - ☐ Applicant claims small entity status (Under 37 CFR 1.27);
  - ☒ IDS (form PTO-1449) and copies of references;
  - ☐ An Associate Power of Attorney;
  - ☐ A certified copy of the priority document (Under 35 USC 119);
  - ☐ A Power of Attorney by Assignee;
  - ☐ Other: Request and Certification Under 35 USC 122(b)(2)(B)(i).

## TYPE OF FILING

- ☒ This application claims the benefit of an earlier filed Patent Application Number 09/584,832, filed on May 31, 2000, Patent Application Number 09/709,948, filed November 10, 2000, Patent Application Number 09/841,792 filed April 24, 2001, Patent Application Number 09/870,879, filed on May 30, 2001, Patent Application (60595-300801) entitled COUNTERFEIT STB PROTECTION THROUGH PROTOCOL SWITCHING filed June 25, 2001(35 USC 120).
- ☐ This application claims the benefit of the priority date of an earlier provisional patent application (35 USC 119).
- ☐ This is an application filed pursuant to 37 CFR 1.53, permitting receipt of a filing date upon filing of specification, claims and drawings, if required, with applicant being given a period of one month from the date of notice to file the fee and oath or declaration.
- ☒ In the event any parts of this application are missing, please treat this as a filing under 37 CFR 1.53 as defined just above.

## CERTIFICATE OF MAILING (37 CFR 1.10(A))

CERTIFICATE OF MAILING BY "EXPRESS MAIL" - Rule 10: I hereby certify that this correspondence is being deposited with the U. S. Postal Service "Express Mail Post Office to Addressee" under 37 CFR 1.10 as Express Mail No. EL745058319US addressed to the Box Patent Application, Commissioner of Patents, Washington, D.C. 20231 on July 9, 2001 by Sheena Hicks.

Date: July 9, 2001

*Sheena Hicks*  
Sheena Hicks

## FEE CALCULATION

The filing fee has been calculated as shown below:

				SMALL ENTITY	OR	OTHER THAN A SMALL ENTITY	
BASIC FEE Design Patent				\$160	\$0	\$320	\$
BASIC FEE Utility Patent				\$355	\$0	\$710	\$710
EXTRA FEES				RATE	\$0	RATE	FEE
Total claims	58	minus 20 =	38	x9 =	\$0	x18 =	\$684
Independent Claims	7	minus 3 =	4	x40 =	\$0	x80 =	\$320
<input type="checkbox"/> Multiple Dep. Claim				+130 =	\$0	+260 =	\$0
<input type="checkbox"/> Assignment				+40 =	\$0	+40 =	\$0
<input type="checkbox"/> Rule 53 Surcharge				+65 =	\$0	+130 =	\$0
<b>TOTAL</b>					\$0		\$1,714

### FEE PAYMENT

- ☐ Attached is Check No. in the sum of \$<sup>00</sup> for the cost of the filing fee, and claims
- ☐ Please charge Account No. 02-3964 the sum of \$\_\_\_\_\_

### FEE DEFICIENCY

- ☐ Please charge Account No. 02-3964 in the sum of \$\_\_\_\_\_
- ☐ The Commissioner is authorized to charge (or credit any overpayment) to deposit account No. 02-3964:
- ☐ Any additional filing fees required under 37 CFR 1.16, except Rule 53 filings, which will be paid within the time permitted by PTOL 1533.
- ☐ Assignment Recordal fees.
- ☐ The filing fee and surcharge under 37 CFR 1.16, patent application processing fees under 37 CFR 1.17 and patent issue fees under 37 CFR 1.18 are intended to be paid by our firm as they arise. As no abandonment is intended by any inadvertent nonpayment of fees, the Commissioner is hereby authorized to charge payment of such fees as from time to time come due, if not paid prior to due date to our Deposit Account No. 02-3964.
- ☒ A duplicate copy of this sheet is enclosed.

Dated: July 9, 2001

Respectfully submitted,



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